

(19)



Europäisches Patentamt
European Patent Office
Office européen des brevets



(11) Publication number:

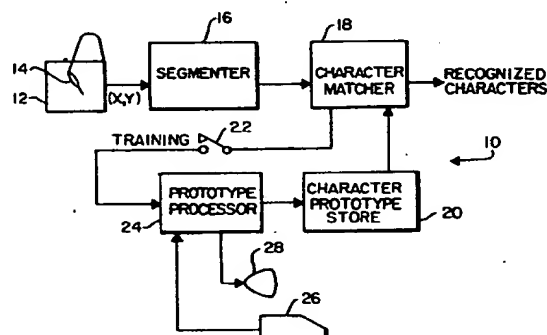
0 503 250 A2

(12)

EUROPEAN PATENT APPLICATION(21) Application number: **92101264.7**(51) Int. Cl.⁵: **G06K 9/62, G06K 9/22**(22) Date of filing: **27.01.92**(30) Priority: **11.03.91 US 667917**(43) Date of publication of application:
16.09.92 Bulletin 92/38(84) Designated Contracting States:
DE FR GB(71) Applicant: **International Business Machines Corporation**
Old Orchard Road
Armonk, N.Y. 10504(US)(72) Inventor: **Kim, Joonki**
6 Macy Avenue
White Plains, N.Y. 10605(US)
Inventor: **Leibman, George Joseph**
100 Diplomat Drive
Mt. Kisco, N.Y. 10549(US)
Inventor: **Tappert, Charles Carson**
Beach Road
Ossining, N.Y. 10562(US)(74) Representative: **Herzog, Friedrich Joachim,**
Dipl.-Ing.
IBM Deutschland GmbH Schöналcher
Strasse 220
W-7030 Böblingen(DE)(54) **Method and apparatus for improving prototypes of similar characters in on-line handwriting recognition.**

(57) A method, and apparatus for accomplishing same, of providing character prototypes for use by a handwriting character recognition system 10. A first method includes a first step of providing a first handwritten character; a second step of copying the first character to provide a second character; and a third step of modifying the second character to (a) emphasize dissimilarities between the second character and the first character and to (b) de-emphasize similarities between the second character and the first character. A second method includes a first step of providing a first handwritten character and a second handwritten character each being comprised of a plurality of points. A second step of the method matches corresponding points between the first character and the second character. A third step of the method processes pairs of matching points to separate one from another each point of the match-

ing pair by an amount proportional to an initial separation between each matched corresponding point, so as to emphasize dissimilarities between the first character and the second character.

FIG. 1**EP 0 503 250 A2**

This invention relates generally to handwriting recognition systems and, in particular, to methods and apparatus for optimizing a set of character prototypes used for matching against an unknown input character.

Many on-line, handwriting recognition systems employ curve matching methods to match an unknown character against prototype, or template, characters. Examples of such systems are described in the following articles: W. Doster and R. Oed, "Word processing with on-line script recognition," IEEE Micro., vol. 4, pp. 36-43, October 1984; K. Ikeda, T. Yamamura, Y. Mitamura, S. Fujiwara, Y. Tominaga, and T. Kiyono, "On-line recognition of handwritten characters utilizing positional and stroke vector sequences," Proc. 4th Int. Jt. Conf. Pattern Recognition, pp. 813-815, November 1978; C.C. Tappert, "Adaptive on-line handwriting recognition," Proc. 7th Int. Conf. Pattern Recognition, pp. 1004-1007, 1984; C.C. Tappert, "Speed, accuracy, flexibility trade-offs in on-line character recognition," IBM Research Report RC13228, October 1987; and T. Wakahara and M. Umeda, "Stroke-number and stroke-order free on-line character recognition by selective stroke linkage method," Proc. 4th ICTP, pp. 157-162, 1983. In general, the recognition accuracy of such prototype-based handwriting recognition systems is a function of the quality of the prototypes.

One problem encountered in on-line handwriting recognition is the discrimination of similarly-shaped handwritten characters, such as A-H, h-k, g-y, etc. In that known curve matching methods weigh all portions of the curves of characters equally, non-essential portions may cause errors. In particular, with curve matching methods an unimportant feature or portion of the character, such as the shape of the descender or tail of "g" and "y", may cause recognition errors.

The following U.S. and Japanese Patents all teach various aspects of handwriting recognition systems.

US-A-4 561 105, issued December 24, 1985, entitled "Complex Pattern Recognition Method and System" to Crane et al. describes an on-line character recognition system that uses template or model strokes (col. 3, lines 1-52).

US-A-4 573 196, issued February 25, 1986, entitled "Confusion Grouping of Strokes in Pattern Recognition Method and System" to Crane et al. also describes an on-line character recognition system that uses template or model strokes and which further provides a distance metric to categorize a stroke as a member of a "confusion group".

US-A-4 718 102, issued January 5, 1988, entitled "Process and Apparatus Involving Pattern Recognition" to Crane et al. describes a handwritten character recognition system having disambiguation routines to differentiate members of confusion sets of characters (col. 4).

US-A-4 754 489, issued June 28, 1988, entitled "Means for Resolving Ambiguities in Text Based Upon Character Context" to Bokser describes a context algorithm that assigns a probability value to each member of a set of character strings in order to determine which character string in a set has a highest probability.

Commonly assigned US-A-4 731 857, issued March 15, 1988, entitled "Recognition System for Run-on Handwritten Characters" to C.C. Tappert describes a segmentation method for run-on handwritten characters that considers all stroke ends as possible character segmentation points.

US-A-4 491 965, issued January 1, 1985, entitled "Character Recognition Apparatus" to Yoshimura describes character recognition apparatus that provides normalized character patterns to a recognizing section which compares the normalized pattern with entries in a dictionary.

US-A-4 654 873, issued March 31, 1987, entitled "System and Method for Segmentation and Recognition of Patterns" to Fujisawa et al. describes a character recognition system for use in an optical character reader in which predetermined unit patterns are extracted from a two-dimensional image pattern. If an ambiguity exists in segmentation of the unit patterns, a number of hypothetical unit patterns are provided for the recognition unit for selecting one of the hypothetical unit patterns.

Japanese Patent No. 62-24382 entitled "Method for Recognizing Handwritten Character" describes, in the abstract, a method for recognizing handwritten characters in which input strokes are compared with reference strokes stored in a template memory.

Japanese Patent No. 61-68678 entitled "Optical Input Device of Drawing" describes an optical drawing input device where a symbol on a template is traced and compared to a registered symbol.

What is not taught by this prior art, and what is thus an object of the invention to provide, is method and apparatus for improving or optimizing a set of character prototypes, especially prototypes of character pairs that are known to be readily confusable one with the other.

Preferably the invention is to provide a method, and a means for accomplishing the method, that processes a pair of readily confusable character prototypes so as to emphasize the differences between the characters while also deemphasizing the similarities between the characters.

This and other objects are advantageously solved basically by applying the features laid down in the independent claims.

The invention provides for a method of creating

character prototypes for improving a recognition accuracy of similarly-shaped characters. The method of the invention operates to emphasize those portions of similarly shaped characters that are known to be critical for discriminating between the similarly shaped characters and to de-emphasize those character portions that are found not to be critical for discrimination purposes.

One method includes a step of entering a character to form a character prototype, the writer writing those portions of the character that are critical for discrimination in a larger fashion than is normal while writing the unimportant features smaller or, for characters having a same feature in common, writing the unimportant feature identically for each of a pair of characters to be discriminated.

In the creation of a starter set of character prototypes graphic techniques are employed to make the unimportant portions of similar characters identical.

Another method employs a graphics tool to copy a trace of a first character. The copied trace is then modified, at a portion critical for differentiating the first character from a confusable second character, to convert the first character to the second character. This technique ensures that similarly formed portions of the two characters are identically formed and that a portion or portions, important for discrimination, are differently formed and are emphasized.

A further method involves an automated caricature process. A first step chooses similar-character prototypes to exaggerate. A second step matches similar character prototypes on a point-to-point basis. A third step computes modified prototypes composed of point pairs that are computed by displacing corresponding pairs of points away from one another by a distance that is proportional to an original distance between the point pairs. This method automatically makes the shapes of the two prototype characters less similar by emphasizing the dissimilar regions.

The above set forth and other features of the invention are made more apparent in the ensuing detailed description of the invention when read in conjunction with the attached drawing, wherein:

Fig. 1 is a simplified block diagram of a handwriting recognition system constructed and operated in accordance with the invention;

Fig. 2 illustrates, by example, two prototype characters associated with a readily confusable character pair and a plurality of differently formed input characters that may be misrecognized;

Fig. 3 illustrates, by example, the prototype characters of Fig. 2 being formed so as to emphasize the differences be-

tween the characters and to deemphasize the similarities;

Fig. 4 illustrates, by example, two different character prototype pairs, specifically uppercase "A" and "H" and lower case "h" and "k";

Fig. 5 illustrates the two different character prototype pairs of Fig. 4 that are formed in such a manner as to emphasize the differences between the characters and to deemphasize the similarities;

Fig. 6 is a flowchart depicting a first method of the invention and also the effect of the operation of the method on the formation of an exemplary pair of character prototypes; and

Fig. 7 is a flowchart depicting a second method of the invention and also the effect of the operation of the method on the formation of an exemplary pair of character prototypes.

Referring to Fig. 1 there is shown an on-line handwriting character recognition system 10 constructed and operated in accordance with the invention. System 10 includes a handwriting transducer comprised of an electronic tablet 12 having a writing implement, such as a stylus 14. In response to handwritten characters the transducer outputs a serial stream of (x,y) coordinate pairs corresponding to a position of the stylus 14 relative to a tablet 12 coordinate system. The coordinate pairs are provided to a stroke segmenter 16 that identifies a beginning and an end of discrete handwritten strokes. Strokes are provided to a character matcher 18. Character matcher 18 is coupled to a store 20 of predetermined character prototypes for comparing points of input strokes to points of stored character prototypes so as to identify, to within some probability, that an input stroke or assemblage of strokes represents a specific character. Recognized characters are output from the matcher 18 and may be displayed to a user or input to an application, such as a word processing program. In some systems the functionality of the segmenter 16 and the character matcher 18 may be combined within one handwritten stroke processing unit.

Character prototypes may be provided to the store 20 during a training session held with a user of the system 10. The character prototypes may be entered by a specific user of the system and thereafter used only when the specific user enters handwritten characters. Also, a global or universal set of character prototypes may be generated for use with more than one user.

In this regard a starter prototype set may be designed as an initial prototype set to be used by any writer on first using the system 10. If desired, a

writer may then add character prototypes in his/her own writing style to obtain a prototype set tailored to the writer, also referred to as an individualized prototype set. In that a starter prototype set is typically created by an expert in handwriting recognition systems, there is no burden placed on a user of the system 10 to follow any writing suggestions or constraints.

In any case, during a training session characters from character matcher 18 are routed through a physical or logical switch 22 to a prototype processor 24. Prototype processor 24 is also coupled to a user input and output device, such as a keyboard 26 and a display 28, for controlling the operation thereof as will be described in detail below. The output of prototype processor 24 is a series of prototype characters that are supplied to store 20 for subsequent use during a handwriting recognition session conducted with a user of the system 10.

In accordance with the invention there are provided methods of operating the prototype processor 24 so as to create character prototypes for improving the recognition accuracy of similarly-shaped characters. In general, the methods of the invention operate to emphasize those portions of similarly shaped characters that are found to be critical for discriminating between the similarly shaped characters and to deemphasize those portions that are found not to be critical for discrimination purposes.

A first method includes a first step of drawing one character of a pair of confusable characters, such as the character "g" of the confusable pair "g,y". The writer intentionally draws those portions of the character that are critical for discrimination in a larger fashion than is normal, while drawing the unimportant features smaller or, for characters having a same feature in common, drawing the unimportant feature identically for each of a pair of characters to be discriminated.

For example, and referring to Figs. 2 and 3, the descenders, or tails, of the "g" and "y" are not generally considered to be important for the "g-y" discrimination, and the writer is advised to draw them identically so that an unknown character is assigned the same score, by the character matcher 18, when matching against that portion of the prototype characters. However, the upper portion of each of these characters, specifically the closure of the "g" and the open portion of the "y", are considered important for recognition, and the writer is advised to emphasize these elements of the characters, as in Fig. 3.

Prototypes that are created in accordance with this method exhibit a greater percentage of character curves that are devoted to features important for discrimination. For example, recognition of up-

percase "O" versus lowercase "o" has been found to improve when the difference in size (diameter) is increased for the upper case "O". Also, and as is seen in Figs. 4 and 5, "A" versus "H" discrimination was found to improve when the vertical strokes of the "H" were separated more at the top than at the bottom, so as to exaggerate that feature that best distinguishes "H" from "A". Also, discrimination between cursive lowercase "h" and "k" was found to improve markedly when the distinguishing features in these prototypes were drawn in exaggerated fashion. For example, the lower curved portion of the "h" is widened while the cusp of the "k" is intentionally drawn to the left so that it extends past the vertical stroke.

This method has been successfully employed with both starter and individualized prototype sets. In the creation of a starter set, graphic techniques are preferably employed to make the unimportant portions of similar characters identical.

Referring to Fig. 6 there is illustrated in flowchart form a further method of the invention. This method employs a graphics tool, such as a graphics software package known in the art as Freelance, to copy the trace of a character and then to graphically modify the important portion so as to convert it to the other character of the confusable-character pair.

In a first step (A) a user draws one of the characters of the confusable character pair, by example a "g" of the pair "g,y".

In a second step (B) the input character is filtered, using the graphics software package, by moving x-y coordinate points of the drawn character to smooth lines, remove aberrant points, and make the character more regular in shape.

In a third step (C) the filtered first character is copied to form a second character. In a fourth step (D) unimportant portions of the first and second characters are made identical, such as the descenders of the g and y. Also, by manually moving points of one or both of the characters the differences between the important features are exaggerated, such as the exaggeration of the closure of the upper portion of the "g" versus the upper, open portion of the "y".

At step E the two prototype characters are stored in the store 20 for subsequent use.

A further method, illustrated in Fig. 7, employs an automated caricature process to exaggerate the critical differences between handwritten character prototypes. Step A selects a pair of similar-character prototypes, such as "h,k". At step B the similar-character prototypes are matched on a point-to-point basis. Preferably, this matching is accomplished by the character matcher 18 through the use of an elastic matching algorithm of the type discussed by C.C. Tappert in the aforementioned

journal article entitled "Adaptive On-Line Handwriting Recognition". The elastic matcher operates to treat one of the characters of the pair as an unknown and the other as a prototype. The characters are elastically deformed so as to achieve a best-fit, characterized as a lowest score, between the characters. This process also results in an alignment of the two characters such that corresponding pairs of points, one from each character, are associated with one another for subsequent processing.

At step C the two similar-character prototypes are processed to move points, in a manner described below, so as to emphasize differences and de-emphasize similarities between the two characters. At step D the generated similar-character prototypes are stored in the store 20 for subsequent use.

Steps B and C may be expressed as a computation executed by the prototype processor 24 as described below.

To match similar-character prototypes 1 and 2 on a point-to-point basis perform the following steps (a)-(d), for all pairs of corresponding points (p1,p2):

- (a) let p1 = the point in prototype 1;
- (b) let p2 = the point in prototype 2;
- (c) denote a vector drawn from p1 to p2 as (p2-p1);
- (d) compute modified prototypes 1' and 2', comprised of point pairs p1' and p2', respectively, such that

$$p1' = p1 + k(p1-p2); \text{ and}$$

$$p2' = p2 + k(p2-p1),$$

using vector addition, with scalar $k > 0$ chosen appropriately.

This technique operates to move corresponding pairs of points (p1,p2) away from one other by a distance proportional to their original distance. This serves to make the shapes of the two prototype characters less similar by emphasizing their dissimilar regions. The scalar k is a caricaturization factor that controls the degree of distortion to create the caricature versions of the writing.

Claims

1. A method of providing character prototypes for use by a handwriting character recognition system, comprising the steps of:

providing a first handwritten character;

copying the first character to provide a second character; and

modifying the second character to (a) emphasize dissimilarities between the second character and the first character and to (b) de-emphasize similarities between the second character and the first character.

2. A method as set forth in claim 1 and further including a step of storing the first character and the modified second character within a store of character prototypes (20).

3. A method as set forth in claim 1 or 2 wherein the first character is represented as a set of points referenced to a two-dimensional coordinate system and wherein the step of copying includes an initial step of processing the first character to remove aberrant points from the first character.

4. A method of providing character prototypes for use by a handwriting character recognition system, comprising the steps of:

providing a first handwritten character and a second handwritten character, the first and the second handwritten characters each being comprised of a plurality of points;

matching corresponding points between the first character and the second character; and

processing pairs of matching points to separate one from another each point of the matching pair by an amount proportional to an initial separation between each matched corresponding point of the pair, so as to emphasize dissimilarities between the first character and the second character, preferably storing the processed first character and the processed second character within a store of character prototypes.

5. A method as set forth in claim 4 wherein the step of matching includes a step of elastically matching the first character and the second character to align the first character and the second character.

6. A method as set forth in claim 4 or 5 wherein step of processing includes, for all pairs of corresponding points (p1,p2), the steps of:

- (a) let p1 = the point in the first character;
- (b) let p2 = the point in the second character;
- (c) denote a vector drawn from p1 to p2 as (p2-p1); and
- (d) compute, using vector addition, a modified first character and a modified second

character, comprised of point pairs $p1'$ and $p2'$, respectively, such that

$$p1' = p1 + k(p1-p2); \text{ and}$$

$$p2' = p2 + k(p2-p1),$$

where k is chosen to be greater than zero.

7. A method as set forth in claim 6 wherein k is a caricaturization factor having a value selected to control an amount of distortion of the first character and the second character. 10

8. Apparatus for providing character prototypes for use by a handwriting character recognition system, comprising: 15

means (12, 14) for providing a first handwritten character and a second handwritten character, the first and the second handwritten characters each being comprised of a plurality of points; 20

means (18) for matching corresponding points between the first character and the second character; and 25

means (24) for processing pairs of matching points to separate one from another each point of the matching pair by an amount proportional to an initial separation between each matched corresponding point of the pair so as to emphasize dissimilarities between the first character and the second character, said apparatus preferably including means for storing the processed first character and the processed second character. 30 35

9. Apparatus as set forth in claim 8 wherein the matching means includes means for elastically matching the first character and the second character to align the first character and the second character. 40

10. Apparatus as set forth in claim 8 or 9 wherein processing means includes means, responsive to all pairs of corresponding points ($p1, p2$), where $p1$ = the point in the first character, where $p2$ = the point in the second character, and where ($p2-p1$) denotes a vector drawn from $p1$ to $p2$, for computing a modified first character and a modified second character, comprised of point pairs $p1'$ and $p2'$, respectively, such that 45 50

$$p1' = p1 + k(p1-p2); \text{ and}$$

$$p2' = p2 + k(p2-p1),$$

where k is a scalar chosen to be greater than

zero.

11. Apparatus as set forth in claim 10 wherein k is a caricaturization factor having a value selected to control an amount of distortion of the first character and the second character.

FIG. 1

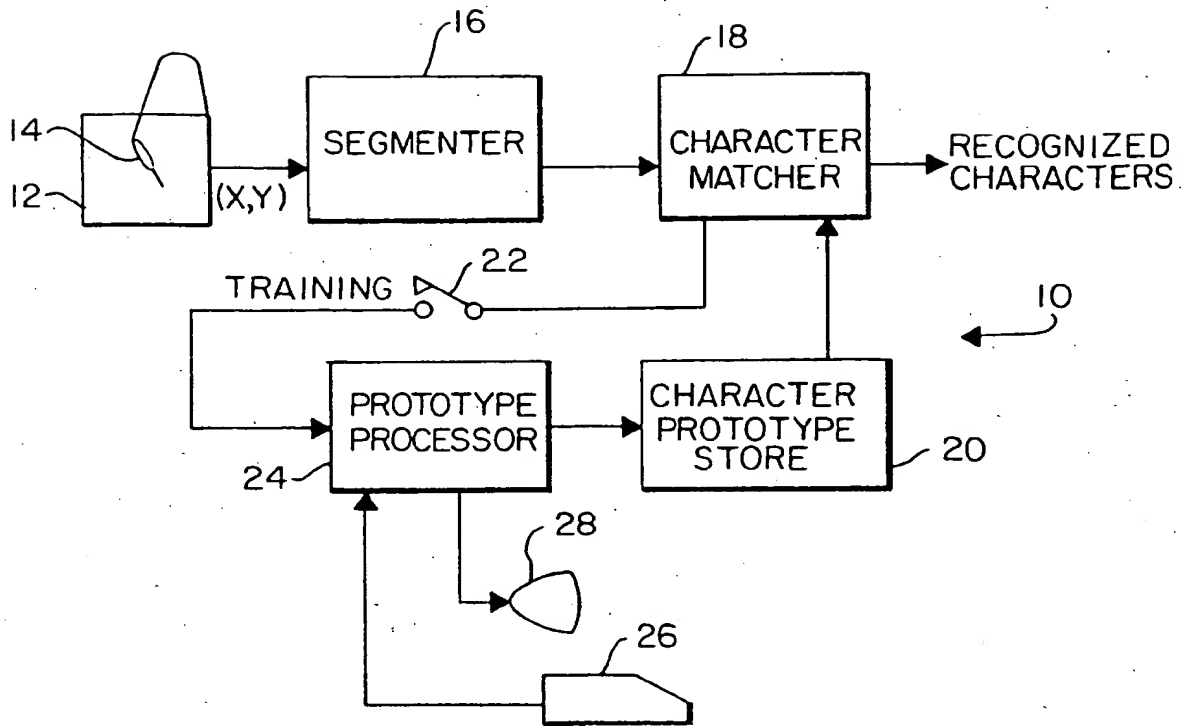


FIG. 2.

PROTOTYPES

g y

UNKNOWN CHARACTERS

gg yy

FIG. 3.

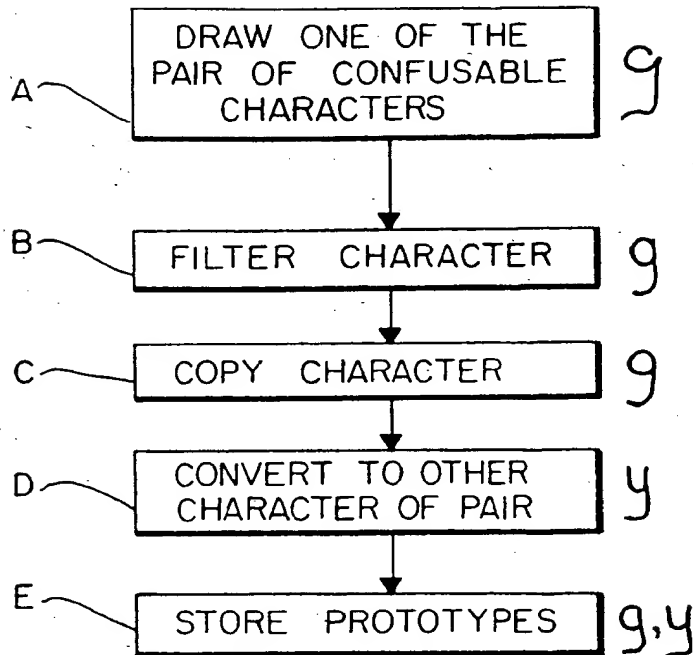
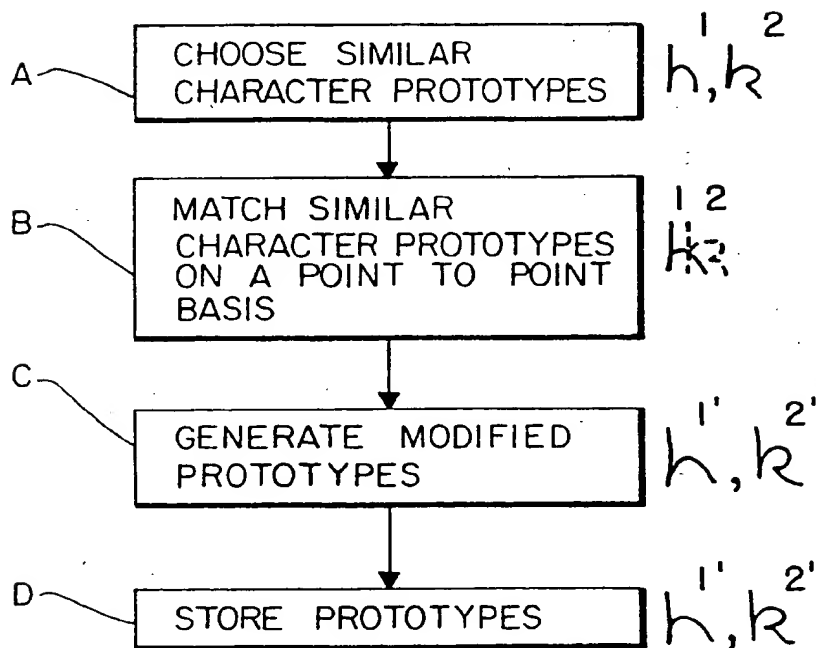
FIG. 4.

FIG. 5.

g y

A H
h k

A H
h k

FIG. 6FIG. 7

(19)



Europäisches Patentamt
European Patent Office
Office européen des brevets



(11) Publication number:

0 503 250 A3

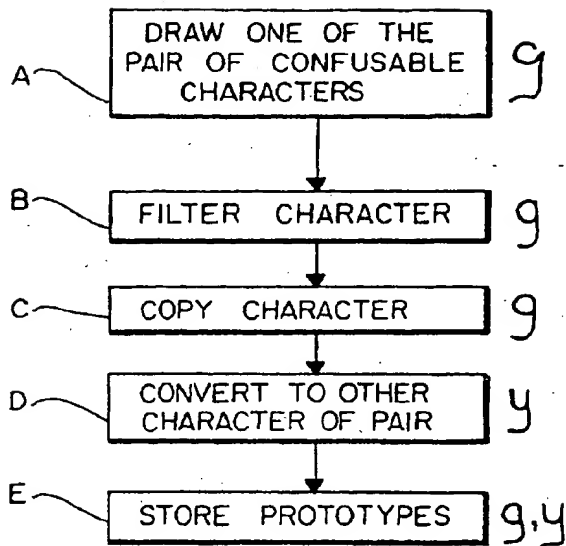
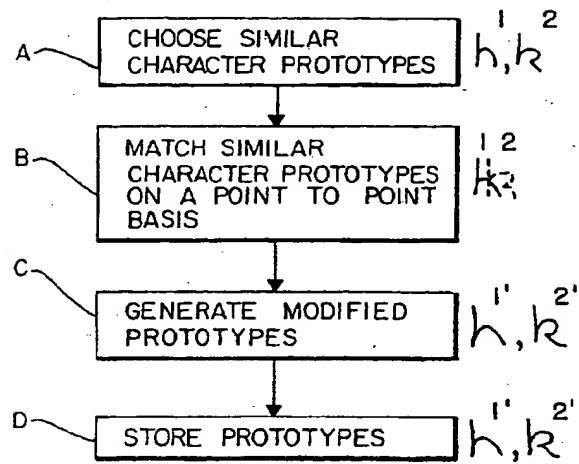
(12)

EUROPEAN PATENT APPLICATION(21) Application number: **92101264.7**(51) Int. Cl.⁵: **G06K 9/62, G06K 9/22**(22) Date of filing: **27.01.92**(30) Priority: **11.03.91 US 667917**(43) Date of publication of application:
16.09.92 Bulletin 92/38(84) Designated Contracting States:
DE FR GB(88) Date of deferred publication of the search report:
23.02.94 Bulletin 94/08(71) Applicant: **International Business Machines Corporation**
Old Orchard Road
Armonk, N.Y. 10504(US)(72) Inventor: **Kim, Joonki**
6 Macy Avenue
White Plains, N.Y. 10605(US)
Inventor: **Leibman, George Joseph**
100 Diplomat Drive
Mt. Kisco, N.Y. 10549(US)
Inventor: **Tappert, Charles Carson**
Beach Road
Ossining, N.Y. 10562(US)(74) Representative: **Mönig, Anton, Dipl.-Ing.**
IBM Deutschland Informationssysteme GmbH,
Patentwesen und Urheberrecht
D-70548 Stuttgart (DE)(54) **Method and apparatus for improving prototypes of similar characters in on-line handwriting recognition.**

(57) A method, and apparatus for accomplishing same, of providing character prototypes for use by a handwriting character recognition system 10. A first method includes a first step of providing a first handwritten character; a second step of copying the first character to provide a second character; and a third step of modifying the second character to (a) emphasize dissimilarities between the second character and the first character and to (b) de-emphasize similarities between the second character and the first character. A second method includes a first step of providing a first handwritten character and a second handwritten character, the first and the second handwritten characters each being comprised of a plurality of points. A second step of the method matches corresponding points between the first character and the second character. A third step of the method processes pairs of matching points to separate one from another each point of the match-

ing pair by an amount proportional to an initial separation between each matched corresponding point, so as to emphasize dissimilarities between the first character and the second character.

EP 0 503 250 A3

FIG. 6FIG. 7



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number
EP 92 10 1264

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.5)
A	SYSTEMS, COMPUTERS, CONTROLS. vol. 14, no. 6 , November 1983 , WASHINGTON US pages 19 - 27 K. ISHII 'Generation of Distorted Characters and Its Applications' * the whole document *	1,4,8	G06K9/62 G06K9/22
A	TRANSACTIONS OF THE INSTITUTE OF ELECTRONICS, INFORMATION AND COMMUNICATION ENGINEERS OF JAPAN vol. E73, no. 4 , April 1990 , TOKYO JP pages 577 - 585 XP000132757 H.TAKAHASHI ET AL. 'A Hybrid Recognition Algorithm with Learning Capability and Its Application to an OCR System' * page 581; figure 6 *	1,4,8	
D,A	US-A-4 718 102 (CRANE ET AL.) 5 January 1988	1,4,8	
			TECHNICAL FIELDS SEARCHED (Int.Cl.5)
			G06K
The present search report has been drawn up for all claims			
Place of search		Date of completion of the search	Examiner
THE HAGUE		23 December 1993	Van Ginkel, K
CATEGORY OF CITED DOCUMENTS			
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application I : document cited for other reasons & : member of the same patent family, corresponding document			

EPO FORM 1503 03/92 (P04C01)

THIS PAGE BLANK (USPTO)